SERFF Tracking #: AEGB-130808792 State Tracking #:

State: District of Columbia Filing Company: Transamerica Financial Life Insurance Company

TOI/Sub-TOI: A03G Group Annuities - Deferred Variable/A03G.002 Flexible Premium

Product Name: AMD-TFLIC SAMC (11/16) - TFLIC Amendment to Group Annuity Contract

Project Name/Number: AMD-TFLIC SAMC (11/16)/AMD-TFLIC SAMC (11/16)

## Filing at a Glance

Company: Transamerica Financial Life Insurance Company

Product Name: AMD-TFLIC SAMC (11/16) - TFLIC Amendment to Group Annuity Contract

State: District of Columbia

TOI: A03G Group Annuities - Deferred Variable

Sub-TOI: A03G.002 Flexible Premium

Filing Type: Form

Date Submitted: 11/17/2016

SERFF Tr Num: AEGB-130808792 SERFF Status: Submitted to State

State Tr Num:

State Status:

Co Tr Num: AMD-TFLIC SAMC (11/16)

Implementation On Approval

Date Requested:

Author(s): Chrissy Vandersee, Pilar Caudillo

Reviewer(s):

Disposition Date:
Disposition Status:
Implementation Date:

SERFF Tracking #: AEGB-130808792 State Tracking #:

Company Tracking #: AMD-TFLIC SAMC (11/16)

State: District of Columbia Filing Company: Transamerica Financial Life Insurance Company

TOI/Sub-TOI: A03G Group Annuities - Deferred Variable/A03G.002 Flexible Premium

Product Name: AMD-TFLIC SAMC (11/16) - TFLIC Amendment to Group Annuity Contract

Project Name/Number: AMD-TFLIC SAMC (11/16)/AMD-TFLIC SAMC (11/16)

#### **General Information**

Project Name: AMD-TFLIC SAMC (11/16)
Project Number: AMD-TFLIC SAMC (11/16)

Requested Filing Mode:

Explanation for Combination/Other:

Submission Type: New Submission

Group Market Type: Employer, Association, Other

Overall Rate Impact:

Deemer Date:

Submitted By: Pilar Caudillo

Filing Description: November 17, 2016

District of Columbia
Department of Insurance, Securities, and Banking
810 First Street, N. E. Suite 701
Washington, DC 20002

Status of Filing in Domicile: Pending

Date Approved in Domicile:

Domicile Status Comments:

Market Type: Group

Group Market Size: Small and Large

Explanation for Other Group Market Type: (Labor Unions,

Multiple Employers and Credit Unions)

Filing Status Changed: 11/17/2016

State Status Changed: Created By: Pilar Caudillo

Corresponding Filing Tracking Number:

RE:TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY NAIC # 468-70688 FEIN 36-6071399 AMD-TFLIC SAMC (11/16) – Amendment to Group Annuity Contract

Dear Commissioner Stephen C. Taylor:

Please find attached the above referenced form for your review and approval.

Amendment form AMD-TFLIC SAMC (11/16) will be used for new and existing business. For new business it will be attached to the Contract at time of issue. For existing business it will be sent to the Contractholder to attach to their Contract and will supersede any provision under the Contract addressing the subject matter contained herein and the provisions in this amendment shall control if they are in conflict with those of the Contract.

This amendment will be used on a general-use basis and will be used with Annuity Accumulation Contract TA-AP-2001-CONT (REV 01-02) with Schedule A, previously approved by your Department on August 7, 2003, and Stable Value Fund Rider, form TA-AP-2002-SVF 062006, also previously approved by your Department on August 23, 2006.

This amendment is being filed to clarify how the calculation of the value of the Sub-account is determined.

The enclosed form has been submitted to New York, our home state and is currently pending.

The Contract to which this amendment will attach is not registered with the SEC. Since the product is issued only to retirement plans qualified under IRC Section 401(a) or governmental plans maintained for the exclusive benefit of the participants and

Company Tracking #: AMD-TFLIC SAMC (11/16)

State: District of Columbia Filing Company: Transamerica Financial Life Insurance Company

TOI/Sub-TOI: A03G Group Annuities - Deferred Variable/A03G.002 Flexible Premium

Product Name: AMD-TFLIC SAMC (11/16) - TFLIC Amendment to Group Annuity Contract

Project Name/Number: AMD-TFLIC SAMC (11/16)/AMD-TFLIC SAMC (11/16)

beneficiaries, it is exempt from registration in accordance with Section 3(a)(2) of Act 33.

Variable text within the enclosed form is identified with [brackets]. All variable information is described in the Statement of Variability.

To the best of the Company's knowledge, information and belief, the form submitted herewith is in compliance in all respects with the provisions of the insurance laws, rules and regulations of your state and such form contains no provisions previously disapproved by your Department. This form contains no unusual or controversial provisions, and does not vary from industry standards.

The text of this form is in final print. The Company reserves the right to change the appearance and pagination but not the text of this form to comply with future changes in print systems. No font will be less than a 10-point font size. The Company also reserves the right to change the color and/or weight of the paper on which this form is printed and to correct typographical errors without refiling.

We would appreciate your review and subsequent approval of the attached form.

Sincerely,

Transamerica Financial Life Insurance Company

Pilar M. Caudillo State Filing Analyst pilar.caudillo@transamerica.com 877-527-6444 ext. 151-2538

# **Company and Contact**

### **Filing Contact Information**

Pilar Caudillo, Pilar.caudillo@transamerica.com

2700 West Plano Parkway 972-881-2538 [Phone]

Plano, TX 75075

#### **Filing Company Information**

Transamerica Financial Life CoCode: 70688 State of Domicile: New York

Insurance Company Group Code: 468 Company Type: Life 440 Mamaroneck Avenue Group Name: State ID Number:

Harrison, NY 10528 FEIN Number: 36-6071399

(319) 355-7888 ext. [Phone]

# **Filing Fees**

Fee Required? No Retaliatory? No

Fee Explanation:

SERFF Tracking #: AEGB-130808792 State Tracking #: Company Tracking #: AMD-TFLIC SAMC (11/16)

 State:
 District of Columbia

 Filing Company:
 Transamerica Financial Life Insurance Company

TOI/Sub-TOI:

A03G Group Annuities - Deferred Variable/A03G.002 Flexible Premium

Product Name:

AMD-TFLIC SAMC (11/16) - TFLIC Amendment to Group Annuity Contract

Project Name/Number: AMD-TFLIC SAMC (11/16)/AMD-TFLIC SAMC (11/16)

## Form Schedule

Lead Form Number: AMD-TFLIC SAMC (11/16)									
Item	Schedule Item	Form	Form	Form	Form	Action Specific	Readability		
No.	Status	Name	Number	Туре	Action	Data	Score	Attachments	
1		Amendment	AMD-TFLIC SAMC(11/1	POLA	Initial			AMD-TFLIC SAMC (1116).pdf	

Form Type Legend:

	po Logoria.		
ADV	Advertising	AEF	Application/Enrollment Form
CER	Certificate	CERA	Certificate Amendment, Insert Page, Endorsement or Rider
DDP	Data/Declaration Pages	FND	Funding Agreement (Annuity, Individual and Group)
MTX	Matrix	NOC	Notice of Coverage
OTH	Other	OUT	Outline of Coverage
PJK	Policy Jacket	POL	Policy/Contract/Fraternal Certificate
POLA	Policy/Contract/Fraternal Certificate: Amendment, Insert Page, Endorsement or Rider	SCH	Schedule Pages



A Stock Company (Hereafter called the Company, we, our, us)

Home Office:

[440 Mamaroneck Avenue, Harrison, New York 10528] Administrative Office:

[1150 South Olive Street, Los Angeles, CA 90015]

#### **Amendment to Contract**

**Amendment Effective Date:** The effective date of this Amendment is [the Effective Date of the Contract to which this Amendment is attached][Month/Day/Year].

The Contract is hereby amended as described in this Amendment. The provisions in this Amendment shall control if they are in conflict with those of the Contract.

Notwithstanding anything to the contrary in the Contract, the Company will use the beginning of day market value of the assets in each Sub-account to calculate the applicable daily Separate Account Maintenance Charge for each Sub-account and the applicable daily Administrative Charge for each Sub-account Class.

This Amendment is attached to and becomes a part of the Contract as of the Amendment Effective Date and shall not otherwise change, alter or amend the Contract except as stated herein.

Signed by Transamerica Financial Life Insurance Company on the Amendment Effective Date.

[Blake Bostwick], President

[Jay Orlandi], Secretary

SERFF Tracking #: AEGB-130808792 State Tracking #: Company Tracking #: AMD-TFLIC SAMC (11/16)

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Project Name/Number: AMD-TFLIC SAMC (11/16)/AMD-TFLIC SAMC (11/16)

# **Supporting Document Schedules**

Satisfied - Item:	Statement of Variability
Comments:	
Attachment(s):	TFLIC Statement of Variability - AMD-TFLIC SAMC(1116).pdf
Item Status:	
Status Date:	

# Transamerica Financial Life Insurance Company NAIC #468-70688, FEIN # 36-6071399

## Amendment to Annuity Accumulation Contract Statement of Variability – November 2016

Form Number: AMD-TFLIC SAMC (11/16)

We have bracketed or determined that the following information will be variable. Any changes will be for future use only, and on a non-discriminatory basis. These changes include any changes to ensure this form remains compliant with state and federal laws, regulations and requirements as well as the items specifically detailed below. We have bracketed the sections for the following reasons:

**Company Addresses:** To allow for administrative flexibility. Should the location or other annuity contact information change, this information will be updated accordingly.

**Amendment Effective Date:** The following information will show depending on if the amendment is being issued for new business or with existing business. This information is Contractholder specific and for existing business the Amendment Effective Date will be electronically populated. The Effective Date will show one of the following:

**Effective Date:** The effective date of this Amendment is the Effective Date of the Contract to which this Amendment is attached.

<or>

Effective Date: The effective date of this Amendment is Month/Day/Year.

**President and Secretary Signatures:** To allow for administrative flexibility. Should these officers change, this information will be updated accordingly.